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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
ARC 2685 N. 1

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**DEVICE FOR ENHANCING TRANSDERMAL AGENT FLUX**

and invented by:

Joseph Creagan Trautman and Hyunok Lynn Kim

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/208,813  
Which ~~is~~ claims priority from prior provisional application No. 60/069,339.☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 25 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets 9
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy)      ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EL 523 934 923 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Additional Enclosures (please identify below):

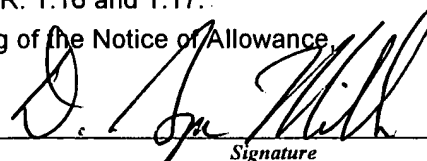
Petition Under 37 CFR 1.48(b)

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	21	- 20 =	1	x \$18.00	\$18.00
Indep. Claims	2	- 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose) <u>Petition Under 37 CFR 1.48(b)</u>					\$130.00
TOTAL FILING FEE					\$858.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 01-1173 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$858.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance pursuant to 37 C.F.R. 1.311(b).

  
Signature

D. Byron Miller, Reg. No. 30,661  
ALZA Corporation  
Intellectual Property Department, M10-3  
1900 Charleston Road  
P.O. Box 7210  
Mountain View, CA 94039-7210  
(650) 564-7850

Dated: October 4, 2000

cc:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Mailing Label EL 523 934 923 US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 4, 2000.

jc916 U.S. PTO  
09/684163  
10/04/00

ALZA CORPORATION

By: Henrietta Votaw  
Henrietta Votaw

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) :	TRAUTMAN, et al.	)	
		)	
Serial No. :		)	Group Art Unit:
		)	Not Assigned
Filed :	Evendate Herewith	)	Examiner:
		)	Not Assigned
For :	DEVICE FOR ENHANCING	)	
	TRANSDERMAL AGENT FLUX	)	
		)	
		)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Petition Under 37 CFR 1.48(b)**

Sir:

In the above-identified continuation application, a Preliminary Amendment has been filed canceling all of the original claims and substituting therefore a new set of claims 36-55. The inventors of the subject matter of 36-55 are different from the inventors of the subject matter of original claims 1-35 and hence require this petition to delete certain inventors. The inventors who are being deleted are Patrick S.L. Wong, Peter E. Daddona and Michael G. Zuck since none of these three individuals contributed to the subject matter being claimed in new claims 36-55. The

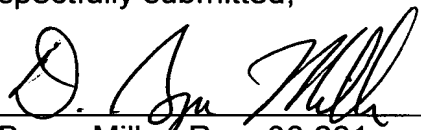
10/19/2000 SCARNICH 00000011 011173 09684163

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Commissioner is authorized to charge the fee in accordance with 37 CFR 1.17(i) to Deposit Account 01-1173.

Respectfully submitted,

Dated: October 3, 2000

  
\_\_\_\_\_  
D. Byron Miller, Reg. 30,661  
Patent Attorney for Applicants

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